

File No. 11537-0004US
Express Mail No. EL915426655US

1c978 U.S. PRO
10/09/1834
03/05/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Thomas F. Neal
Serial No.: Examiner:
Filed : herewith Group Art Unit:
For : "VERSATILE, MODULAR, MULTI-STAGE WATER
PURIFICATION SYSTEM"

114
1-1543

INFORMATION DISCLOSURE STATEMENT

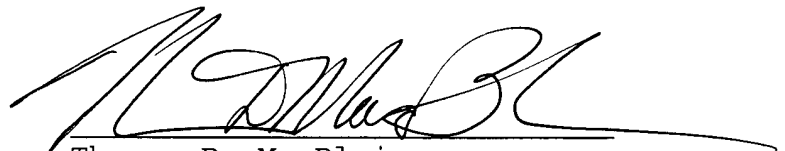
Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant respectfully requests that the documents that are listed in the attached PTO-1449 form, be considered by the Examiner and made of record in the above-identified patent application. Copies of these documents are enclosed.

Respectfully submitted,

Dated: 3/5/02



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FORM PTO-1449 U.S. DEPARTMENT OF
COMMERCE
PATENT AND
TRADEMARK OFFICE

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. 11537-0004US

SERIAL NO

APPLICANT: Thomas F. Neal

FILING DATE: herewith

GROUP

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|--------------------|------------|--------------------|-------|----------|-------------------------------|
| | USD 236,829 | 09/16/1975 | Berry, Jr., et al. | | | |
| | USD 353,440 | 12/13/1994 | Ito, et al. | | | |
| | USD 384,128 | 09/23/1997 | Scott | | | |
| | USD 407,462 | 03/30/1999 | Bishop, et al. | | | |
| | 2,079,475 | 05/04/1937 | Williams, et al. | | | |
| | 4,192,750 | 03/11/1980 | Elfes, et al. | | | |
| | 4,230,571 | 10/28/1980 | Dadd | | | |
| | 5,266,215 | 11/30/1993 | Engelhard | | | |

FOREIGN PATENT DOCUMENTS

| DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
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OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

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EXAMINER

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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | ATTY. DOCKET NO. 11537-0004US | SERIAL NO |
| | APPLICANT: Thomas F. Neal | |
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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|--------------------|------------|---------------|-------|----------|-------------------------------|
| | 5,540,848 | 07/30/1996 | Engelhard | | | |
| | 5,709,799 | 01/20/1998 | Engelhard | | | |
| | 6,007,723 | 12/28/1999 | Ikada, et al. | | | |
| | 6,203,697 B1 | 03/20/2001 | Ferguson | | | |
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FOREIGN PATENT DOCUMENTS

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